

VITA  
Thomas J. Cook

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- I. Address 7575 South Elati Street  
Littleton, CO 80120
- II. Education BA University of Idaho, 1970  
Major: Finance
- MS University of Idaho, 1973  
Major: Economics
- Ph.D. University of Washington, 1978  
Major: Finance
- III. Dissertation: "Sequential Decision-making in Securities Markets"
- IV. Teaching Experience:
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|-----------------|--------------------------------------|
| 1986 to Present | Associate Professor, Univ. of Denver |
| 1983 to 1986    | Assistant Professor, Univ. of Denver |
| 1978 to 1983    | Assistant Professor, Univ. of Iowa   |

A. Courses taught

At the University of Denver:

BSBA 1400	Operating The Business
FIN 2000	Business Finance
FIN 3200	Corporate Financial Problems
FIN 3230	Small Business Financial Planning
FIN 3500	Financial Modeling
FIN 4100	Financial Management
FIN 4200	Financial Environment
Fin 4410	Financial Planning
FIN 4420	Capital Budgeting
FIN 4430	Case Problems
FIN 4700	Financial Modeling
FIN 4810	Foundations of Finance
FIN 4830	Empirical Issues in Finance
FIN 4850	Financial Modeling
FIN 4850	Financial Modeling
MBA 4110	Foundations of Business Decisions (I)
MBA 4111	Foundations of Business Decisions (II)
MBA 4122	Foundations of Business Decisions (III)
MBA 4132	PCE II
ADM 3200	Business Decisions

ADM 1120 The Business Plan  
ADM 1130 Operating the Business

At the University of Iowa:

Undergraduate & MBA financial management  
Undergraduate case problems  
MBA/Ph.D. theory course  
Ph.D. theory seminar

B. Courses Developed

1. University of Denver

- a. Small Business Financial Planning
- b. Case Problems
- c. Capital Budgeting
- d. FIN 4810 and FIN 4850 for the MS in Finance
- e. Part of a team that designed new courses for new Undergraduate core
- f. FIN 3700/4700/4850 Financial Modeling

2. University of Iowa

- a. MBA/Ph.D. theory course
- b. Ph.D. theory seminar

C. New Teaching Methods

1. Team Learning approach used in FIN 3200
2. Various approaches to teaching the case problems course using small group discussion as well as different formats for case presentation & discussions
3. Computer-based instruction in the Financial Modeling courses offered to undergraduate and graduate students.
4. Changed FIN 3200 course to a discussion based approach.

V. Work Experience/Consulting

A. Work Experience

1. Administrative Assistant to the Dean of the College of Economics and Business, Washington State University (1973 - 1975)

B. Consulting

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1. Public Employees Retirement Account
2. Environmental Protection Agency
3. Storage Technology Corporation
4. UPI Holdings
5. Connectiv
6. MediaStation

## VI. Scholarship

### A. Publications

#### 1. Refereed journals

- 1) "Ranking Conflicts Among the Deliverable Bonds Against the Treasury Bond Futures Contract," with L. D'Antonio. Forthcoming in the Journal of Business Disciplines.
- 2) "Detection of Fraudulent Financial Reporting: An Empirical Analysis," with H. Grove and E. Basilico., Oil, Gas and Energy Quarterly, Vol 54, No.1, September 2005, pp 1-31.
- 3) "A Statistical Analysis of Financial Ratio Red Flags," with H. Grove. Oil, Gas and Energy Quarterly, Volume 53, No.2, December, 2004, pp 3212-346
- 4) "Convexity: A Comparison and Reconciliation of its Different Forms," with L. D'Antonio, The Journal of Financial Research, Summer 2004, Vol. XXVII, No. 2, pp 252-272.
- 5) "Lessons for Auditors: quantitative and Qualitative Red Flags," with H. Grove, Journal of Forensic Accounting, Volume V, Number 1, June 2004, pp. 131-146.
- 6) "ABC Process-Based Capital Budgeting," with Hugh Grove Journal of Managerial Issues, Vol XII, Number 3, Fall 2000.
- 7) "Activity-Based Costing and Benchmarking for Capital Budgeting." with H. Grove, Management Accounting, May 1997, pp 38-46. Awarded a Certificate of Merit as one of the top 5 articles of the year.
- 8) "Planning Implications of AMT for Capital Budgeting Decisions," with H. Grove and R. Rizzuto Petroleum Accounting and Financial Management, Volume 12, Number 3, Fall/Winter, 1993.
- 9) "Relative Importance of External R&D: Trends and Motivations," with Ron Rizzuto, The Journal of Technology Transfer, Volume 14, Number 3 and 4, Summer/Fall, 1990.

- 10) "Capital Budgeting Practices for R&D: A Survey and Analysis of Business Week's R&D Scoreboard," with Ron Rizzuto, The Engineering Economist, Volume 34, Number 4, Summer, 1989.
- 11) "Co-skewness, Dividend Yield and Capital Asset Pricing," with M. Rozeff, The Journal of Financial Research, Fall, 1984.
- 12) "Size and Earnings/Price Ratio Anomalies: One Effect or Two?" with M. Rozeff, Journal of Financial and Quantitative Analysis, December, 1984.
- 13) "Credit Union Taxation: Competitive Effects," with Lou D'Antonio, Journal of Economics and Business, Summer, 1984.

#### Refereed Case Journal Publications

- 1) "Premier Data Solutions Case," with H. Grove. Forthcoming in the Case Research Journal. Accepted for publication 11/4/2005
- 1) "Broadwalk Acquisition Case," with H. Grove. Business Case Journal, Summer 2005, Vol 13, Issue No. 1, pp 84-97.
- 2) "F5 Networks Case," with H. Grove. Business Case Journal, Summer 2005, Vol 13, Issue No. 1, pp 57-81.
- 3) "Enron Red Flags Case," with H. Grove, Journal of Financial Education, Fall 2004, pp.90-110.
- 4) "TeleCom Red Flags Case," with H. Grove, Journal of Financial Education, Summer, 2005, pp 108-122..
- 5) "Vitessa and the Dot-Com Bubble," with H. Grove. Case Research Journal, Winter, 2004, pp. 93-122.
- 6) "Custom Acquisition," with H. Grove, Business Case Journal, (Summer, 2002), Volume 10, Issue 1, pp 1-20.
- 7) "CST Joint Venture Case", with H. Grove. Journal of Financial Education., Summer, 2002, pp. 79-90.
- 8) "Rocky Mountain Drywall Case", with Hugh Grove and Troy Schroeder, Journal of International Academy for Case Studies, Fall, 2001, Volume 7, Number 1, pp. 81-101.
- 9) "Hermes Joint Venture Case," with Hugh Grove. Journal of Finance Case Research, Volume 2, Number 1, Fall, 2001, pp. 1-19.

- 10) "Discovery Corporation Acquisition Case," with Hugh Grove and Steve Coburn Case Research Journal, Winter, 2001, Volume 21, Issue 1, pp 77-92.
- 11) "Coors Case: Balanced Scorecard", with Hugh Grove and Ken Richter, Cases From Management Accounting Practice, Vol. 15, 2000, pp. 17-25.
- 12) "Aztec Equipment Company," with H. Grove and G. Von Stroh, The Journal of Accounting Case Research, Summer, 2000, pp. 31-45.
- 13) "Customer Service Technologies: Accounting Choices," with H. Grove, The Journal of Accounting Case Research, Fall, 2001, Volume 6, Number 2, pp. 9-18.
- 14) "Highland Haven Motel" with H. Grove. The Journal of Accounting Case Research, Vol.5, No.1, 1999, pp. 64-77.
- 15) "LT Bicycle Helmets, Inc. ( II)", with H. Grove & G. Von Stroh. The Journal of Accounting Case Research, Spring,1998, pp.94-107.
- 16) "Coors: Managing Logistics in the Value Chain." with H. Grove, and M. Volker, Business Case Journal, Summer, 1997, pp.31-41.
- 17) "The Customer Service Technologies IPO," with H. Grove, Business Case Journal, Summer/Winter, 1997, pp. 93-111
- 18) "Crest Case: EDI for Claims Processing", with H. Grove Case Research Journal, Vol 17, Issue 2, 1997.
- 19) "LT Bicycle Helmets, Inc.(I) " with H. Grove . The Journal of Accounting Case Research, Fall, 1995, pp.40-55.

#### Non-refereed journals

- 1) "The Alternative Minimum Tax and the Cable Industry," with Ron Rizzuto and Richard Leaman, Broadcast Cable Financial Journal, July/August, 1992.
- 2) "Relative Importance of External R&D: Trends and Motivations," with R. Rizzuto, Technology Transfer, Summer-Fall 1989, pp 25-30.
- 3) "How R&D Money is Spent," with Ron Rizzuto, Research Technology Management, January/February, 1988. (Awarded a Certificate of Merit as one of the top five articles of the year by this journal).

#### B. Papers presented

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- 2) "Optical Innovators Case," with H. Grove. Presented at the NACRA Meeting in Cape Cod, MA Oct 28-30, 2005
- 3) "Boardwalk Case," with H. Grove. Presented at the NACRA Meeting in Sedona, AZ Oct 7-9, 2004.
- 4) "A Comparative Analysis of US and European Approaches for Detection and Prevention of Fraudulent Financial Reporting Practices," with H. Grove and Elizabetta Basilico, European Accounting Association Annual Meeting, Prague, Czech Republic, April 2004.
- 5) "TeleCom Red Flags Case," with H. Grove. Presented at the North American Case Research Association Annual Meeting, Tampa, Florida, November, 2003.
- 6) "A Statistical Analysis of Financial Ratio Red Flags," with H. Grove. Presented at the Academy Business Disciplines, Fort Myers, Florida, November, 2003; and at the Colorado Society of CPAs October 13, 2003.
- 7) "Vitessa Survival Financing Case," with H. Grove, North American Case Research Association Annual Meeting, Banff, Alberta, Canada, October, 2002.
- 8) "Rocky Mountain Drywall Case," with H. Grove, Allied Academies International Conference, Las Vegas, October, 2001.
- 9) "CST Joint Venture Case," with Hugh Grove, Western Casewriters Association 2001 Annual Meeting, Elkhorn, Idaho, April, 2001.
- 10) "Hermes Joint Venture Case," with Hugh Grove," North American Case Research Association Annual Meeting, San Antonio, October, 2000.
- 11) "Cygnus Acquisition Case," with Hugh Grove, North American Case Research Annual Meeting, San Antonio, October, 2000.
- 12) "Coors Case: Balanced Scorecard," with H. Grove, presented at the Management Accounting Research Conference and Case Symposium, January 2000, Mesa, Arizona.
- 13) "Discovery Corporation Acquisition Case," with H. Grove, presented at NACRA, October 1999, Sonoma, California.
- 14) "Systems Application Programs (SAP) Case," presented at the Western Case Writers Conference in Los Angeles, March 1999.
- 15) "The Customer Service Technologies IPO," with H. Grove, presented at the Western Case Writers Workshop held in Portland, Oregon, March 1998.

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- 16) "Customer Service Technologies: IPO Accounting Method Choices," with H. Grove, presented at the Western Case Writers Workshop held in Portland, Oregon, March 1998.
- 17) "Investing in Russia," with H. Grove, presented at the National Case Writers Conference held in Colorado Springs, October 1996.
- 18) "Coors Case: Managing the Corporate Value Chain," with H. Grove. Presented at the Western Case Writers Conference in April, 1996.
- 19) "Ace Equipment Company," with H. Grove, Presented at the Western Case Writers Conference for presentation in April, 1996.
- 20) "LT Helmets, Inc. (III)", with H. Grove and G. Von Stroh, a case presented to the North American Case Research Association (NCRA) in New Orleans, November, 1994.
- 21) "Highland Haven Creekside Inn", with H. Grove, a case presented to the NCRA conference in New Orleans in November, 1994.
- 22) "Comprecare, Inc.", with H. Grove, a case presented to the Western Case Writers Conference in San Diego, April, 1995.
- 23) "The Size and E/P Anomalies: A Test of Arbitrage Pricing Theory," with T. Howard presented at the Front Range Workshop, Autumn, 1989.
- 24) "Excess Returns from Trading Strategies Based Upon Size and Earnings/Price Ratio Effects," with M. Rozeff, presented at the Financial Management Association meetings in Toronto, October, 1984.
- 25) "Size and Earnings/Price Ratio Anomalies: One Effect or Two?" with M. Rozeff, presented at the Western Finance Association Meetings in Vancouver, Jun, 1984. This paper was selected as one of the top four papers presented at the meetings. This paper was also presented to the DU-CU-UCD-UW seminar in September, 1983 and to the Finance Research Group in the Spring of 1984.
- 26) "Common Stock Returns and Potential Bond Rating Changes: An Analysis of Standard and Poor's Credit Watch," presented at the Financial Management Association Meetings in Atlanta, October, 1983.
- 27) "A Descriptive model of the Firm's Choice Between Public and Private Debt," with L. D'Antonio, presented at the Financial management Association Meetings in Atlanta, October, 1983.
- 28) "Size, Dividend Yield and Co-skewness Effects on Stock Returns: Some Empirical Tests," with M. Rozeff, presented at the Financial Management Association Meetings in San Francisco, October, 1982.

- 29) "An Optimal Trading Price Strategy for Imperfectly Informed Investors," presented at the Western Finance Association Meeting in San Francisco, June, 1979.

C. Items in progress

1. Research in Progress

- a. "The Cherry Creek Tree Farm Case," with H. Grove. A new case that is being tested in our classes before submitting it for publication.
- b. "Earning Management and Fraud Detection: Key Ratios & Corporate Governance Factors" a working paper with H. Grove. This has just been submitted for publication.
- c. "Efficiency of the Foreign Currency Market," with L. D'Antonio. Project in initial stages. Data has been gathered, and now we are building a statistical model.

E. Scholarship Awards

1. Discovery Scholarship Award, 2005
2. Integrated Scholarship Award, 2003

F. Professional memberships

1. American Finance Association
2. Western Finance Association
3. Society for Case Research
4. National Association of Case Research

G. Grants Received

1. I have received many grants to conduct research from the College in prior years.

VII. External Grants

- A. "Applications of Discrete Choice Analysis to Environmental Policy Problems," with Joel Horowitz, from the Environmental Protection Agency.

## VIII. College/University Committees

### A. University of Denver

Member, APT Committee, 2005 - Present  
Chair, Scholarship Steering Committee, 2004 - Present  
Member, Scholarship Steering Committee, 2002-2004  
Member, Integrative Scholarship Committee, 1998-2002  
Member, DCB Faculty Research Committee, 1995-1997  
Member, DCB Reaccreditation Team  
Chair, Undergraduate Programs Committee (DCB) 1993-95  
Faculty Senate (DU) 1992-95  
Chair, Faculty Senate Financial Planning Committee (DU) 1992-95  
Senate Representative to Trustees Finance & Budget Committee (DU) 1992-95  
Member, Resource Allocation Task Force (DCB) 1994  
Member, Senate Executive Committee (DU) 1992-95  
Member, Provost's Advisory Committee (DU) 1994-95  
Values "Row" Committee (DCB) 1991-92  
Faculty Development Committee (DCB) 1990-92  
Chair, CBA Research Committee (DCB) 1986-90  
Search Committee to find Director of Penrose Library (DU) 1990-91  
Library Advisory Committee (DU) 1989-90  
Judge for Martin Margolin Prize (DCB) 1985-87  
MBA Committee (DCB) 1985-88  
Undergraduate Affairs Committee (DCB) 1983-84

### B. University of Iowa

1. Elected to Faculty Council
2. Ph.D. Committee
3. MBA Committee

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